

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/062,329	01/31/2002	Stephen S. Breese	AUS920010980US1	3987
50170 7	7590 08/05/2005	,	EXAM	INER
IBM CORP. (WIP)			VU, THONG H	
c/o WALDER INTELLECTUAL PROPERTY LAW, P.C.			ART UNIT	PAPER NUMBER
P.O. BOX 832745				TATER NOMBER
RICHARDSO	N, TX 75083	•	2142	
			DATE MAIL ED: 08/05/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

·						
	Application No.	Applicant(s)				
Office Action Commons	10/062,329	BREESE ET AL.				
Office Action Summary	Examiner	Art Unit				
	Thong H. Vu	2142				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 13 July 2005.						
2a) This action is FINAL . 2b) This action is non-final.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
 4) Claim(s) 1-7,10-15,18-31,34-42 and 45-54 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-7,10-15,18-31,34-42 and 45-54 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. 						
Application Papers						
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) □ accepted or b) □ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
Attachment/c)						
Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)						
Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 7/05. S. Patent and Trademark Office	Paper No(s)/Mail Da					

Application/Control Number: 10/062,329 Page 2

Art Unit: 2142

1. Claim 17,10-15,18-31,34-42,45-54 are pending. Claims 8,9,16,17,32,33,43,44 are canceled.

Response to Arguments

2. Applicant's arguments with respect to claims 17,10-15,18-31,34-42,45-54 have been considered but are most in view of the new ground(s) of rejection.

Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 17,10-15,18-31,34-42,45-54 are provisionally rejected under the judicially created doctrine of double patenting over claims 1-59 of copending Application No. 10/062469. This is a provisional double patenting rejection since the conflicting claims have not yet been patented.

The subject matter claimed in the instant application is fully disclosed in the referenced copending application and would be covered by any patent granted on that copending application since the referenced copending application and the instant application are claiming common subject matter, as follows:

Application/Control Number: 10/062,329

Art Unit: 2142

('369) 1. A method for communicating performance information, said method comprising:

collecting data from a plurality of probes, including at least one <u>local</u>

<u>probe</u> and at least one <u>remote probe</u>;

and reporting said data, at least in part.

- 2, reporting <u>a first subset</u> of said data that originates from said at least one local probe; reporting <u>a second subset</u> of said data that originates from said at least one remote probe; and employing a similar reporting format for said first subset and said second subset; whereby <u>comparison of said first subset</u> and said second subset is facilitated.
- 4, comparing said data with at least one threshold value derived from a service level agreement; reporting results of said comparing.
- 6, said error is a <u>measured response</u>
 time value greater than a corresponding
 threshold value.
- 15, reporting results of <u>each script</u> execution by said plurality of probes.

('329) 1. A method for probing services in a network environment, said method comprising:

providing a script;

providing a <u>remote probe</u> confirmed to measure <u>a first set</u> of performance data associated with a client device, wherein the performance data is data related to an interaction of the client device with a server device:

providing a <u>local probe</u> confirmed to measure a <u>second set</u> of performance data associated with a server application on the server device with which the client device interacts, wherein the second set of performance data is data related to an interaction of the server application with the client device:

measuring a client-server application's performance, with said local and remote probes, according to said script, to thereby generate the first set of performance data and the second set of performance data; and collecting, in a database, the first and second sets of performance data produced by said measuring.

- 2, <u>comparing at least one value</u>, <u>obtained by said measuring, with at least one threshold value</u>.
- 4, utilizing said at least one threshold value derived from <u>a service level</u> agreement.
- 7, <u>measuring response time</u> for at least one request.

It is obvious to an ordinary skill in the art to substitute a first or first subset as a local performance data related to client device and second set or subset as remote performance data related to server device.

Page 3

Furthermore, there is no apparent reason why applicant would be prevented from presenting claims corresponding to those of the instant application in the other copending application. See *In re Schneller*, 397 F.2d 350, 158 USPQ 210 (CCPA 1968). See also MPEP § 804.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner *Thong Vu*, whose telephone number is (571)-272-3904. The examiner can normally be reached on Monday-Thursday from 8:00AM-4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, *Andrew Caldwell*, can be reached at (571) 272-3868. The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval IPAIRI system. Status information for published applications may be obtained from either Private PMR or Public PMR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Thong Vu
Patent Examiner
Art Unit 2142